



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Sosé LIS and Philippe LEFEVRE

Group Art Unit: 1623

Serial No .:

10/091,917

Examiner:

Filed:

March 6, 2002

For:

PROCESS FOR PREPARING A DIRECTLY COMPRESSIBLE BETA-

CYCLODEXTRIN, AND DIRECTLY COMPRESSIBLE BETA-

CYCLODEXTRIN THUS OBTAINED

COVER LETTER FOR DOCUMENTS IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED **UNDER 37 C.F.R. 1.54(d)**

BOX MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This is in response to the Notice to File Missing Parts of Application-Filing Date Granted (Notice), a copy of which is being returned with this Response, that is due by June 18, 2002.

In response to the Notice, enclosed herewith, duly executed by the applicant, is:

i) a duly executed Declaration and Power of Attorney (Declaration)

document:

the Declaration which identifies the specification for the above-captioned application to which it is directed by the inventors' names, filing date, title, but not serial number, for filing in the above-captioned application, and wherein the Declaration further identifies the inventors by their residence and citizenship and is otherwise in compliance with 37 C.F.R. 1.63.

Please confirm the receipt of this Cover Letter and the Declaration being submitted herewith is in compliance with the requirements of 37 C.F.R. 1.51(a)(2), 153(d), and 1.63 for a Declaration.

> I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Commissioner of Patents and Trademarks,

Washington, D.C. 20231, on 041-23-200



If the Office has any questions, or wishes to discuss this matter, please call the attorney of record identified in this application as the one to whom all communications are to be directed, or the undersigned at the telephone number indicated below.

Respectfully submitted,

José LIS and Philippe LEFEVRE

Date

By:

Michael O. Sturm Reg. No. 26,078

HENDERSON & STURM LLP 206 Sixth Avenue, Suite 1213 Des Moines, Iowa 50309-4076 Telephone: (202) 296-3854

Telefax: (202) 223-9606



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/091,917

03/06/2002

Jose Lis

2-1032-191

00803 **HENDERSON & STURM LLP** 1213 MIDLAND BUILDING 206 SIXTH AVENUE **DES MOINES, IA 50309-4076**

CONFIRMATION NO. 9194 FORMALITIES LETTER OC0000000078961071

Date Mailed: 04/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

· The oath or declaration is unsigned.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



RECEIPT JITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

José LIS and Philippe LEFEVRE

Group Art Unit: 1623

RECEIVED

Serial No.:

10/091,917

Examiner:

JUN 1 3 2002

Filed:

March 6, 2002

TECH CENTER 1600/2900

For:

PROCESS FOR PREPARING A DIRECTLY COMPRESSIBLE BETA-

CYCLODEXTRIN, AND DIRECTLY COMPRESSIBLE BETA-

CYCLODEXTRIN THUS OBTAINED

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above-identified application, for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the following data is:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 101-23-2012

X omitted

X Applicant's address

in that the filing receipt should read as follows:

Please insert inventor Jose LIS' address as follows: -- La Gorgue, France--.

Respectfully submitted,

José LIS and Philippe LEFEVRE

By:

Reg. No. 26,078

HENDERSON & STURM LLP 206 Sixth Avenue, Suite 1213 Des Moines, Iowa 50309-4076 Telephone: (202) 296-3854 Telefax: (202) 223-9606

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JUN 1 3 2002





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United States Parent and Trac

AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE 03/06/2002

GRP ART UNIT

FIL FEE REC'D 870 ATTY.DOCKET.NO 2-1032-191

DRAWINGS

TOT CLAIMS

IND CLAIMS

00803 HENDERSON & STURM LLP 1213 MIDLAND BUILDING 206 SIXTH AVENUE DES MOINES, IA 50309-4076

APR 2 2 2002

CONFIRMATION NO. 9194
FILING RECEIPT

| 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985 | 1985

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Date Mailed: 04/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by the characteristic collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Cust mer S rvice Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If y u received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

7

Jose Lis, Residence Not Provided: La Lorgue, France Philippe Lefevre, Haverskerque, FRANCE:

D mestic Priority data as claimed by applicant

F reign Applications

FRANCE FR 01 03156 03/08/2001

If Required, Foreign Filing License Granted 04/15/2002

Pr jected Publication Date: To Be Determined - pending completion of Missing Parts

N n-Publication Request: No

Early Publication Request: No

Title

Process for preparing a directly compressible beta-cyclodextrin, and directly compressible beta-cyclodextrin thus obtaind

Preliminary Class

536

LICENSE FOR FOREIGN FILING UNDER Titl 35, Unit d States Code, Section 184 Titl 35, Unit a State 15 Titl 37, Code of Federal Regulation , 5.11 & 5.15

JUN 1 3 2002

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This license is to be retained by the licensee and may be used at any time on or after the effective date the reof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

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